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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045
Filed: December 13, 1999
Confirmation No. 1941
Inventors:
Nesmith et al.

Examiner: E. Colbert
Art Unit: 3624
Atty. Dkt. No: 5226-00600

Title: COMPUTERIZED
METHOD AND SYSTEM
FOR TRADING OF
SECURITIES

CERTIFICATE OF MAILING
UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT:

I hereby certify that this correspondence is being deposited with
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Mark R. DeLuca

AMENDMENT; RESPONSE TO OFFICE ACTION MAILED OCTOBER 14, 2003

Mail Stop: Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313

RECEIVED

JAN 09 2004

GROUP 3600

Sir:

In response to the Office Action of October 14, 2003, please amend the above-identified
application as follows:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this
paper.

Remarks/Arguments begin on page 16 of this paper.

01/19/2003 LMDMM1 00000026 501505 09460045

01 FC:1251 110.00 DA

02/20/2004 SWILLIAM 00000001 501505 09460045

01 FC:1253 840.00 DA